



#5/A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William T. Jennings
Serial No.: 09/668,026
Filing Date: September 21, 2000
Group Art Unit: 2131
Title: KEY ESCROW SYSTEMS

RECEIVED
OCT 24 2001
Technology Center 2100

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

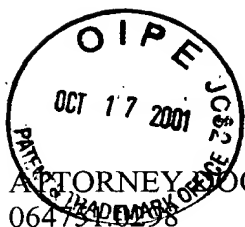
PRELIMINARY AMENDMENT

Prior to the initial review of the above-identified Application for Patent, kindly amend — this Application as follows. Please refer to the attached sheets showing a marked-up version of the amendments to Specification and Claims.

IN THE SPECIFICATION:

Please replace the paragraph beginning on line 21 of page 10 with the following paragraph:

(Amended) In accordance with the present invention there is introduced the concept of Limited One-Way Functions, which are used to create computational terms barriers. The invention utilizes functions that are strongly asymmetric in nature, in terms of work to compute and work to invert. This class of functions, however, is not required to be completely intractable, but alternatively should have some measurable difference in the



ATTORNEY DOCKET NUMBER
064751-0298

PATENT APPLICATION
09/668,026

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William T. Jennings
Serial No.: 09/668,026
Filing Date: September 21, 2000
Group Art Unit: 2131
Title: KEY ESCROW SYSTEMS

RECEIVED
OCT 24 2001
Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant transmits herewith an Amendment in the above-identified application. An additional filing fee has been calculated as shown below.

	CLAIMS AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	EXTRA	SMALL ENTITY RATE	ADDITIONAL FEE
Total Claims:	27	-	20	7	x \$ 18 =	\$ 126.00
Independent Claims:	3	-	3	0	x \$ 80 =	\$.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 126.00

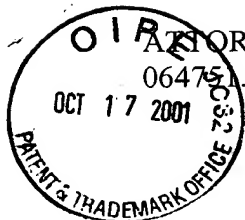
Enclosed is a check in the amount of \$126.00 to satisfy filing fee requirements under 37 C.F.R. §1.16. Please credit any overpayment, or charge any additional fee required by this paper, to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P. A duplicate copy of this sheet is enclosed.

Respectfully submitted,
BAKER BOTTS L.L.P.

Harold E. Meier
Attorney for Applicant
Reg. No. 22,428

Correspondence Address:
BAKER BOTTS L.L.P.
2001 Ross Avenue, Suite 600
Dallas, TX 75201-2980
Tel. 214.953.6650
FAX 214.661-4650

Dated: October 17, 2001



ATTORNEY'S DOCKET
064751.0298

PATENT
09/668,026

GP 2131
\$1
[Handwritten signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William T. Jennings
Serial No.: 09/668,026
Filing Date: September 21, 2000
Group Art Unit: 2131
Title: KEY ESCROW SYSTEMS

Assistant Commissioner of Patents
Washington, D.C. 20231

RECEIVED
OCT 24 2001
Technology Center 2100

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Preliminary Amendment (18 pages), Amendment Transmittal (1 page) in duplicate, a check in the amount of \$126.00, this Certificate of Mailing by Express Mail, and an acknowledgment postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on this 17th day of October, 2001 addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Willie Jiles
Willie Jiles

Express Mail Receipt
No. EL759111495US